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# REPLICATION OF THE APPARENT EXCESS HEAT EFFECT IN A LIGHT WATER - POTASSIUM CARBONATE - NICKEL ELECTROLYTIC CELL

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## ABSTRACT

Replication of experiments claiming to demonstrate excess heat production in light water-Ni-K<sub>2</sub>CO<sub>3</sub> electrolytic cells was found to produce an apparent excess heat of 11 W maximum, for 60 W electrical power into the cell. Power gains ranged from 1.06 to 1.68. The cell was operated at four different dc current levels plus one pulsed current run at 1 Hz, 10% duty cycle. The 28 liter cell used in these verification tests was on loan from a private corporation whose own tests with similar cells are documented to produce 50 W steady excess heat for a continuous period exceeding hundreds of days. The apparent excess heat can not be readily explained either in terms of nonlinearity of the cell's thermal conductance at a low temperature differential or by thermoelectric heat pumping. However, the present data do admit efficient recombination of dissolved hydrogen-oxygen as an ordinary explanation. Calorimetry methods and heat balance calculations for the verification tests are described. Considering the large magnitude of benefit if this effect is found to be a genuine new energy source, a more thorough investigation of evolved heat in the nickel-hydrogen system in both electrolytic and gaseous loading cells remains warranted.

## BACKGROUND

Motivated by the possibility of a new and practical source of abundant energy, a growing flurry of activity continues around the world to replicate, control and understand the source of anomalous heat in the so-called 'cold fusion' effect, first reported in March, 1989 by Fleischman, Pons and Hawkins<sup>(1)</sup> and also by Jones et al.<sup>(2)</sup> in electrochemical cells that load deuterium into metallic palladium or titanium. The initial disappointment at lack of experimental reproducibility has by now been compensated to a fair degree by the realization that special and usually difficult to achieve conditions<sup>(3)</sup> are necessary before the Pons-Fleischman effect can be observed. For example, it is now known that a high D/Pd loading ratio is one such difficult necessary condition and that likely there are others. Thus in the EPRI program<sup>(4)</sup> on deuterated metals, McKubre et al. report<sup>(5)</sup> that in a batch of Pons-Fleischman type cells, carefully prepared for precision calorimetry, every cell achieving a D/Pd $\geq$ 0.95 showed excess heat, whereas none did that had a D/Pd $<$ 0.90. This and other effects, such as the frequently

long time delay to onset of excess heat, were not fully appreciated at the time of the initial high profile, negative follow-up reports from Harwell<sup>(6)</sup>, Caltech<sup>(7,8)</sup> and M.I.T.<sup>(9)</sup>; moreover, some of this work was done in haste and under great pressure of public scrutiny. These early follow-up reports may now be mostly of historical interest, as controversy regarding their calorimetric<sup>(10)</sup> and data reduction<sup>(11)</sup> methods has been raised.

Modern cells of various types have been reported to produce 50 W or more of excess power for hundreds of days, to have power multiplication factors over 10, and to achieve specific powers as high as  $\sim 4$  kW/cm<sup>3</sup><sup>(12)</sup>. If true, such data clearly exclude by orders of magnitude an ordinary chemistry explanation and force one to consider various lattice assisted nuclear channels, or exotic quantum chemistry (electron transitions catalyzed to unusual, deep atomic levels<sup>(13,14,15,16)</sup> and also the subsequent fusing of the "shrunk" atoms), or the even more exotic possibility that somehow space energy, such as effects of the electromagnetic zero-point fluctuations, is involved.

It seems fair to say, however, that many still doubt the quality of evidence for the existence of consistent anomalous heat, citing the sporadic reproducibility and paucity of compelling evidence. Nevertheless, current experimental emphasis is shifting away from mere replication of the basic effect to developing it in diverse embodiments such as cells based on gas phase interactions with certain metals, light water-K<sub>2</sub>CO<sub>3</sub>-Ni, molten salt electrolytes, proton conductors, etc.. Such alternatives may offer specific advantages for the generation of power and understanding of the underlying mechanisms. For an overview of the latest experiments and the diverse contending theories, the reader may peruse the series of Proceedings of the nth International Conference on Cold Fusion (lately ICCF4 and ICCF5).

## THE LIGHT-WATER CELL TESTED AT NASA

If the anomalous heat effect is found to be genuine, then it may be useful as a power source to replace radio isotope thermal generators for planetary spacecraft or other applications. An opportunity arose in 1994 to obtain, on loan from the Hydrocatalysis Power Corporation, a light-water cell for verification testing. The relative simplicity and reliability of the light water-Ni-K<sub>2</sub>CO<sub>3</sub> electrolytic cell

made it particularly attractive for startup experimentation into this anomalous heat effect.

The existence of an excess heat effect in electrolytic cells based on a platinum (coated) anode and a nickel cathode immersed in a light-water solution of  $K_2CO_3$  was first reported by R.L. Mills and S.P. Kneizys<sup>(16)</sup>, although the possibility was stated earlier by S. Pons et al. in a patent application<sup>(17)</sup>. The effect was soon verified by V.C. Noninski<sup>(18)</sup> and continues as an active topic of research at numerous laboratories.

Prevailing experience has it that only rarely do the light-water cells using either  $K_2CO_3$  or  $Rb_2CO_3$  fail to produce at least some apparent excess heat immediately upon electrolysis, although full power may take months to develop. In contrast, cells based on Pd and  $D_2O$  often remain inactive for many days and require very careful selection and loading of the Pd.

The cell obtained was a rather large sized demonstrator, operating with 28 l of 0.57 M  $K_2CO_3$  solution in deionized, but otherwise ordinary, water in a 10-gal. polyethylene tank (Nalge 54100-0010). Its anode was a set of 10 platinized 1.6"x10" titanium strips and 5 platinized 1"Dx8" titanium tubes. And the cathode consisted of 5000 m of 0.5 mm D cold drawn nickel wire, wound as 150 sections, each 33 m long, on a perforated, 5 gal. polyethylene bucket. The 15 anode sections were suspended in a circular array close to the inner wall of this bucket from a 12¼" D polyethylene disk covering the top of the bucket and located several inches above the liquid level. Cathode and anode connections were brought out from the air space between the disk and the outer lid by means of two ½"Dx4" plated steel carriage bolts. Small holes were available in the outer lid and in the disk for insertion of the rod of a stirring paddle and thermocouples into the volume of the inner bucket. This cell came equipped with a 57.6 Ω, 1000 W, incoloy cased and teflon jacketed heating rod for on-the-fly calorimetric calibration.

Another cell, identical except for a missing anode structure, was obtained to provide a stable ambient temperature reference by averaging the short-term air temperature fluctuations.

The cell tested at NASA is thus nearly the same as the one pictured and described in more detail under "Thermacore Experiment 4" in Reference 15, except that the Thermacore cell used an additional, inner cathode wound from 5000 m of nickel wire.

## EXPERIMENTAL SETUP AND PROCEDURES

The two cells, the active one on the left and blank reference on the right, were placed side by side in a hood, as shown in Figure 1, with their centers about 48 cm apart and 33 cm behind the draw window. Both cells sat on top of identical, 1" thick, closed cell, plastic foam pads placed

in plastic trays; pad compression over the weeks of operation was minor. During operation, the bottom edge of the hood draw window was kept at a fixed mark, level with the tops of the cells. In this way the cells were equally cooled by a steady, 0.7 m/s breeze driven by the hood fan, reducing the vagaries of air convection and variation of cell thermal conductivity with cell temperature. Room temperature variations were moderated only by the basement location, as no thermostatic control was available. Although room air temperature variations up to a few degrees C were experienced during some days, their effect appeared to be well canceled by referencing the active cell temperature rise with respect to the thermally matched blank cell. This room temperature instability unfortunately did make it difficult to obtain reliable data of cell thermal conductivity directly with respect to the ambient air at heater powers below 50 W. No experiments were performed involving electrolysis action in both cells simultaneously (such as comparing the action of  $K_2CO_3$  and  $Na_2CO_3$  solutions in the two cells operated in series) because our blank had no anode.

During steady dc electrolysis, all data was recorded on a Yokogawa model HR2300 multichannel stripchart recorder. This instrument can resolve 0.1 °C using type T thermocouples on any channel programmed for temperature. The actual thermocouple wire used was type T duplex, teflon covered and of enhanced accuracy, obtained from Omega Engineering, Inc. and labeled as "special limits of error." With this wire, the 3 thermocouples used to measure the electrolyte temperature near its center, top and bottom were found to track each other within 0.1 °C from room temperature to 72 °C. In all, 5 temperature probes were monitored: air temperature at hood entrance, active cell electrolyte temperature at three depths and blank cell water temperature at mid depth. The average of the 3 electrolyte temperatures was computed by the HR2300 and also recorded, as was the difference between this average and the blank cell temperature. All immersed thermocouples were electrically isolated from the electrolyte by 6 mm OD (3.5 mm ID) glass tubing, fused shut at one end.

The dc voltages of interest, i.e. at the cell and heating rod terminals as well as from current shunts, were routed to the HR2300 and recorded individually. Electrolysis current was sensed by a 1 mΩ precision shunt and the dc powered heating rod current was sensed by a 66.67 mΩ precision shunt. Power computations were done by multiplication and scaling internal to the HR2300 and the results were recorded. A check with a Racal-Dana Series 6000 digital multimeter revealed that the voltage and power values displayed digitally by the HR2300 were accurate to at least ±0.1%. All the HR2300 input channels were operated floating differential. Also, the output of the 6 V, 50 A electrolysis power supply, a Kepco model ATE6-50M, was entirely dc isolated. With these precautions, the chance of any significant ground loop induced error was minimal.

Since the thermal time constant of the cell in the setup was observed to be 5.5 hours, uncontrolled drift of environmentally sensitive parameters could waste many hours of running time and create errors. Primarily this involves control of the heater and electrolysis powers. In the case of electrolysis power, it seemed best for two reasons to fix the cell current and let the voltage drift with the cell temperature. First, at a normal operating point, the cell differential resistance is low, meaning that the current will be very sensitive to small changes in cell voltage or temperature, making control by voltage difficult. Second, one expects any excess heat to basically depend on the current, because the rate of delivery of hydrogen ions to the nickel surface is proportional to the current.

The heater rod in the active cell was used to develop the curve of temperature rise  $\Delta T$ , as referenced to the unheated blank cell, versus ohmic power dissipated in the active cell by observing the steady state  $\Delta T$  at selected heater powers. The slope of this line is just the thermal resistance of the cell and the process of generating such a line during electrolysis is called calibration on-the-fly<sup>(15,16)</sup>. An on-the-fly calibration is necessary, for as will be shown, the effects of electrolysis can significantly affect the cell thermal resistance.

To keep heater power constant, even as the heater resistance or supply voltage varies, we used a precision constant power controller. This was a circuit based on analog multiplication and feedback techniques controlling a series-pass power MOSFET and similar to load controllers described by J.M. Niedra<sup>(19)</sup>. Using a 100 V dc supply, any preset power up to 120 W and stable to within  $\pm 0.5\%$  could easily be delivered to the heater.

During the regular runs, the active cell was stirred continuously by means of a small teflon half-moon attached to the end of a glass rod rotating at a constant 250 rpm, but the blank cell was stirred only intermittently to eliminate the 0.5 °C or so temperature stratification that could be seen in the water if left undisturbed for a day. Preliminary experiments with the unheated blank cell had shown that in an undisturbed cell suddenly so stirred, any small temperature nonuniformity returned to within 0.1 °C in a minute or two. Further, even after 27 hours of stirring at 315 rpm and with the hood fan off, no clear temperature rise above ambient could be resolved (0.1 to 0.2 °C). Hence the paddle arrangement and its 250 rpm speed was judged entirely satisfactory, producing a negligible contribution to the steady  $\Delta T$ .

Pulse mode control of the electrolysis current has been claimed to increase the ratio of excess heat to input power, both in  $D_2O$ -Pd<sup>(20)</sup> and  $H_2O$ -Ni cells<sup>(15)</sup>. We tested this mode, as time permitted, for at least a 1 Hz pulse repetition rate at 10% duty cycle. The above mentioned power supply was found to be a good source of sharply rectangular current pulses, when operated in its voltage programmed current mode, with peak current set by the current limiter. Current-to-voltage conversion was done by

a 0.010  $\Omega$  shunt, whose performance with pulses was verified by comparison to a high speed current probe. To simultaneously record the rectangular cell current pulses and associated terminal voltage, we used two Tektronix type 11A33 differential comparators in a DSA602A digitizing oscilloscope mainframe. The instantaneous product of cell current and voltage was recorded as well. Also, null input recordings were taken immediately after a data recording in order to correct for dc offsets in the 11A33s, which were observed at times to be as much as several percent of the signal amplitude. Null recording provided the only means of baseline correction for the cell voltage waveform record, because the cell voltage did not decay to zero during the cycle. As a check on the digitized data, time-averaged cell current and voltage, formed by 100 s time constant RC networks, were recorded with the HR2300.

## ANALYSIS OF DATA

### Blank cell thermal conductance

One expects to observe some differences in the steady state heat loss characteristics, or thermal conductance  $\kappa$ , of the blank cell and the active cell during electrolysis. Additional water vapor being carried away in the evolved  $H_2$  and  $O_2$  gas bubbles may well contribute an extra heat loss during electrolysis. And as the electrolysis current is increased, the evolving gases may conceivably contribute to a decrease in  $\kappa$  due to their insulating effect. The heat loss contribution to  $\kappa$  arising from the specific heats of the  $H_2$  and  $O_2$  seems negligible, however, being only about  $2.16 \times 10^{-4} \text{ WA}^{-1} \text{ K}^{-1} I$ , where  $I$  is the electrolysis current. Thus for  $I=10$  A, this additional loss is only a few milliwatts per °K. This heat loss has been estimated using the gas specific heats  $c_p$  of 28.7 and 26.0 Joule/(mole °K) for  $H_2$  and  $O_2$ ,<sup>(21)</sup> respectively, and assuming 100% Faradaic efficiency. Finally, the welding cables clamped to the electrolysis electrode terminals may have contributed a slight additional, temperature dependent heat loss to the active cell. This effect too seemed to be minor, as the temperature rise of the top of the cell was always much less than that of the liquid contained below. In any case, all heat losses for the active cell are automatically included by the on-the-fly calibration.

A number of heat loss calibration runs were performed with the blank cell, loaded with 28.0 liters of deionized water. This amount of water contributes  $1.17 \times 10^5 \text{ J}^\circ\text{K}$  to the heat capacity, whereas the 8.75 kg of Ni wire contributes only  $3.88 \times 10^3 \text{ J}^\circ\text{K}$ , with contributions from the other cell hardware being negligible. At least 24 hours were allotted for the cell to reach equilibrium temperature at each of the heater powers set by the precision controller.

The result of the first 4 blank cell heat loss calibration runs is represented by the lower line in Figure 2, which has a reciprocal slope of  $\kappa_b = 6.13 \text{ W}^\circ\text{K}$ . The 3 lower

power points are seen to be very well aligned with the origin, but the 125 W point droops slightly. The reason for this droop was thought to be the onset of more rapid water vaporization at the 43 °C temperature of the 125 W point. Therefore a straight line through the origin was fitted to the 3 lower points only. No points below 50 W were taken because of lack of time and the observation that the ¼ inch thick, plastic underpad used initially had compressed to an unacceptably thin 1/8 inch under the weight of the cell. Thereafter a 1 inch thick plastic foam underpad was substituted, which suffered only minor relative compression. The upper straight line through the origin in Figure 2 represents the new characteristic, again ignoring the 125 W point. Now the reciprocal slope is a slightly lower  $\kappa_p = 5.85 \text{ W/}^\circ\text{K}$ , which is the same as the  $0.17 \text{ }^\circ\text{C/W}$  on-the-fly coefficient at  $I_c = 50 \text{ A}$  given for the Thermacore experiment no. 4. Unfortunately the 75 W point turned out to be the lowest power data obtained with the thicker pad, because lack of time and an unstable room temperature caused by weather made it impossible to take accurate low power points.

In spite of the lack of lower power calibration points, the good alignment with the origin of the points obtained (except for the highest power ones) is evidence that  $\kappa$  is a constant for  $\Delta T$  below about  $17 \text{ }^\circ\text{C}$ . The purpose of setting the cells in a vigorously and uniformly flowing airstream was of course to reduce any temperature dependence of  $\kappa$ . As seen below, a highly temperature sensitive  $\kappa$  invalidates, or at least complicates, the usual regressive extrapolation toward the origin in order to find the excess heat.

The presence of additional heat loss channels induced by electrolysis necessitates on-the-fly thermal characterization of the active cell. However, this method has a lower limit on power for a given electrolysis current, because this current dissipates ohmic heat in the electrolyte. Nevertheless, lower ohmic heat points were obtained in some electrolysis runs and these support the constancy of  $\kappa$  with  $\Delta T$ . The active cell runs also exhibited quite clearly a small, but not insignificant, dependence of  $\kappa$  on the electrolysis current. The blank cell calibration line serves merely as a limiting low current check on the active cell thermal behavior.

#### Heat balance and the excess heat effect

Figure 3 defines the various input and output powers to the cell viewed as a box enclosing unknown and certainly complex processes. There are only two unquestionably significant power inputs, namely the cell terminal power  $P_c = V_c I_c$  and the heater power  $P_h$ . Note that resistive drops in connections internal to the cell might make the power delivered to the electrolyte slightly less than  $P_c$ . Thermoelectric pumping of heat through the electrolysis terminals by the Peltier effect is a consideration that has been drawn as an input power  $P_{te}$ . The experimentally negligible Joule heat input  $P_{st}$  due to stirring is drawn

there also.

The temperature rise dependent heat losses consisting of convection, water vaporization and various conductions are lumped into a thermal loss  $P_{th}$ , which is just  $\kappa \Delta T$  if the process is linear over the  $\Delta T$  range of interest here. The remaining loss is the energy carried away by the  $\text{H}_2$  and  $\text{O}_2$  gases escaping the cell. This loss amounts to the energy  $4.75 \times 10^{-19} \text{ J/molecule of H}_2\text{O}$  that could be retrieved if the gases were recombined and condensed to liquid  $\text{H}_2\text{O}$  at the same temperature. When calculated in terms of  $I_c$ , this energy corresponds to the thermoneutral voltage of 1.48 V and the 'gas power' is written as  $1.48 \eta I_c$ , where the Faradaic efficiency  $\eta$  may be less than 1 to cover the case of a partial recombination of the  $\text{H}_2$  and  $\text{O}_2$  within the cell.

The excess heat  $P_{exc}$ , if any, is simply the difference between the total output and input powers and can be written as

$$P_{exc} = (P_{th} + 1.48 \eta I_c) - (P_c + P_h + P_{te} + P_{st}). \quad (1)$$

To be useful for computing  $P_{exc}$ , Eq. 1 must be put into a form that relates closer to what is measured. First we note that the thermal heat loss  $P_{th} = P_{th}(I_c, \Delta T)$  is an unknown function, but with the property that  $P_{th}(I_c, 0) = 0$ . The simplest such admissible form is  $P_{th} = \kappa(I_c) \Delta T$ . Information about this function must be gleaned experimentally, say by varying  $P_h$ , with  $I_c$  fixed.  $P_c$  will then vary also, since the  $V_c$  versus  $I_c$  characteristic is temperature sensitive. Nevertheless, one can combine some of these variables into a single term

$$P_o = P_c + P_h + P_{te} + P_{st} - 1.48 \eta I_c, \quad (2)$$

representing the part of the total input power that is dissipated as heat in the electrolyte. For a fixed  $I_c$ ,  $P_h = 0$  gives the least possible  $P_o$ . Even if  $P_{te}$  and  $P_{st}$  are negligible, the possibility that  $\eta < 1$  can not be ignored. Therefore it is convenient for plotting purposes to define a 'reduced' dissipated power

$$\tilde{P}_o = P_h + P_c - 1.48 I_c \quad (3)$$

that underestimates the true dissipation, but is completely determined once  $P_h$  and  $I_c$  are set. With this definition,

$$P_o = \tilde{P}_o + P_{te} + P_{st} + 1.48 \eta_{rec} I_c, \quad (4)$$

where

$$\eta_{rec} = 1 - \eta \quad (5)$$

is a recombination efficiency. With these definitions, Eq. 1 becomes

$$P_{exc} = P_{th} - P_o \\ = P_{th} - \tilde{P}_o - (P_{te} + P_{st} + 1.48 \eta_{rec} I_c). \quad (6)$$

One can curve fit experimental data of steady state ( $\bar{P}_o$ ,  $\Delta T$ ), obtained by varying  $P_h$  at fixed  $I_c$ . This plot can then be extrapolated to  $\Delta T=0$  to obtain  $\bar{P}_o|_{\Delta T=0}$ ; the confidence one can place in this extrapolation depends on the scatter of the data, the nature of the fitted curve and the closeness of the data to the origin. As mentioned,  $P_{th}|_{\Delta T=0}=0$ , regardless of the particular dependence of  $P_{th}$  on  $\Delta T$ . Thus from Eq. 6 and this property of  $P_{th}$ , it follows immediately that the true excess heat is

$$P_{exc} = (-\bar{P}_o|_{\Delta T=0}) - (P_{te} + P_{st} + 1.48 \eta_{rec} I_c), \quad (7)$$

in agreement with considerations in the literature.

If for fixed  $I_c$  the  $\bar{P}_o$  versus  $\Delta T$  is a straight line, then  $\partial \bar{P}_o / \partial \Delta T$  is a constant and hence taking  $\partial / \partial \Delta T$  Eq. 6 shows that  $P_{th} \propto \Delta T$ ; this assumes that the terms  $P_{exc}$ ,  $P_{te}$ ,  $P_{st}$  and  $1.48 \eta_{rec}$  are constant with  $\Delta T$ . Any intrinsic dependence of the heat production mechanism on  $\Delta T$  or  $T$  is not revealed by the extrapolation.

A summary of the steady state  $\Delta T$  versus  $\bar{P}_o$  data obtained at fixed  $I_c = 5, 10, 20$  and  $40$  A is presented in Figure 4; this data was obtained by varying  $P_h$ , as stated under Eq. 6 above. Straight lines gave excellent least squares fits to the data and their extrapolated  $\bar{P}_o$ -axis intercepts are  $\bar{P}_o|_{\Delta T=0} = -7.20, -8.57, -11.4$  and  $-8.41$  W, corresponding respectively to the above  $I_c$ . If the terms  $P_{te}$ ,  $P_{st}$  and  $1.48 \eta_{rec} I_c$  are negligible ( $P_{st}$  certainly is; see EXPERIMENTAL SETUP AND PROCEDURES), then, according to Eq. 7, these ( $-\bar{P}_o|_{\Delta T=0}$ ) are the positive excess powers corresponding to the above 4 dc  $I_c$ ; however, the  $P_{te}$  and  $1.48 \eta_{rec} I_c$  contributions will be discussed. In general, we shall call ( $-\bar{P}_o|_{\Delta T=0}$ ) the apparent excess power or heat.

Along with each data line in Figure 4, also the blank cell calibration line is redrawn to provide a comparison of their slopes. This comparison shows that  $\kappa(I_c) > \kappa_{bl}$  in all 4 cases and that  $\kappa(I_c)$  tends to increase slightly with  $I_c$ . A summary of this behavior of  $\kappa(I_c)$  is presented as a scatter plot in Figure 5, that also includes the  $\kappa_{bl}$  data point as well as  $\kappa$  from a pulse  $I_c$  run plotted at its average current  $\bar{I}_c = 3.10$  A.

Due to time constraints on laboratory space, the only pulse  $I_c$  data taken was with 30 A rectangular pulses at a 10% duty cycle and 1 Hz repetition rate, giving the mentioned average  $\bar{I}_c = 3.10$  A. Values for the on-the-fly calibration plot were obtained by time averaging Eq. 3, etc.. From the corresponding line fitted in Figure 6, the apparent excess heat is found to be only 2.66 W. And the thermal conductance is seen to be very close to that of the blank cell, as already indicated in Figure 5. A sampling of the  $I_c$ ,  $V_c$  and  $P_c$  waveforms recorded at thermal equilibrium and  $P_h=0$  is presented along side to show the cell polarization effect. The time averages  $\bar{I}_c$  and  $\bar{P}_c$  noted in Figure 6 include small corrections for baseline offsets in the DSA602A.

## Internal recombination and water addition

Reliable data on water loss during electrolysis from cells operating without a recombiner is essential to the correct interpretation of the apparent excess heat, especially in low power cases having  $-\bar{P}_o|_{\Delta T=0} < 1.48 I_c$ . In such cases a sufficiently high recombination efficiency  $\eta_{rec}$  can imply that  $P_{exc}=0$ , even if  $P_{te}$  and  $P_{st}$  are negligible, as inspection of Eq. 7 shows.

Unfortunately, the run time allotted to each constant heater power  $P_h$  was limited to at most a day or two, which precluded the taking of accurate water loss data in our setup. In efforts to compensate for data inaccuracies, a loss rate was calculated for each selected  $I_c$  by adding the loss amounts for all the corresponding runs of fixed  $P_h$  and dividing by their total time. This procedure reduces the data scatter at the cost of lumping together temperature dependent evaporation rates. Water loss rates so processed are plotted against  $I_c$  in Figure 8 and fitted by a straight line. 100% efficient ( $\eta=1$ ) electrolysis would generate the loss rate  $\alpha I_c$ , where  $\alpha = 9.34 \times 10^{-5} \text{ g s}^{-1} \text{ A}^{-1}$ , which too is plotted in Figure 8.

The total water loss rate is the sum of evaporation and electrolysis losses, or

$$\mathcal{Q} = \mathcal{Q}_{ev} + \eta \alpha I_c, \quad (8)$$

where  $\mathcal{Q}_{ev}$  depends on  $T_c$ , and hence on  $I_c$  and  $P_h$ . However, only the  $I_c$  dependence of  $\mathcal{Q}_{ev}$  will be considered below, because for each  $I_c$  the  $P_h$  dependence has been 'averaged out' in the data of Figure 8. Evaporation dominates recombination in Figure 8, for the data fact that  $\mathcal{Q} > \alpha I_c$  is the same as

$$\mathcal{Q}_{ev} > \eta_{rec} \alpha I_c. \quad (9)$$

Although our data is insufficient to place a hard upper bound on  $\eta_{rec}$ , a bound tighter than Eq. 9 can be had by substituting the experimental fit

$$\mathcal{Q} = \beta I_c + \mathcal{Q}_{ev}(0), \quad (10)$$

where  $\beta = 9.03 \times 10^{-5} \text{ g s}^{-1} \text{ A}^{-1}$  and  $\mathcal{Q}_{ev}(0) = 3.78 \times 10^{-4} \text{ g s}^{-1}$ , into

$$\begin{aligned} \mathcal{Q} - \alpha I_c &= \mathcal{Q}_{ev} + (\eta - 1) \alpha I_c \\ &= \mathcal{Q}_{ev} - \eta_{rec} \alpha I_c \end{aligned} \quad (11)$$

to obtain

$$\eta_{rec} \alpha I_c = \mathcal{Q}_{ev}(I_c) - \mathcal{Q}_{ev}(0) + (\alpha - \beta) I_c. \quad (12)$$

This formula is useful, because at the lower  $I_c$  of the runs the contribution of the  $I_c$  to ohmic heating was small as compared to the upper  $P_h$  values over which the water loss data was averaged to obtain the points in Figure 8. Thus  $\mathcal{Q}_{ev}(I_c)$  can not be much greater than  $\mathcal{Q}_{ev}(0)$  in the present case.

## DISCUSSION

To claim the reality of excess heat in the presence of other comparable heat transfer processes, one must support the validity of the extrapolation to get the apparent excess heat ( $-\dot{P}_{o|\Delta T=0}$ ). Both the blank and active cell thermal conductance data shows that these cells in the environment described are well characterized by a thermal conductance  $\kappa$  that depends slightly on the cell current  $I_c$ , but not at all on the temperature rise  $\Delta T$ , at least for  $0 \leq \Delta T \leq 17^\circ\text{C}$ . The blank cell runs showed in particular that the lower  $\Delta T$  versus heater power  $P_h$  points are well in line with the origin. This eliminates the possibility that the  $(\Delta T, P_h)$  locus could curve into  $(0, 0)$  from above; multiple curvature inflections seem quite unlikely. Further, the active cell constant  $I_c$  runs show in Figures 4 and 6 that the thermal conductance characteristic is very linear down to total ohmic heatings of only a few watts, assuming negligible hydrogen-oxygen recombination. Hence there is good evidence for the validity of the linear extrapolation to  $\Delta T=0$  of our data.

Accepting the rationale for the apparent excess heat extrapolated from the on-the-fly thermal data, the burden is shifted to showing that the heat additions  $P_{te}$ ,  $P_{st}$  and  $1.48\eta_{rec}I_c$  can not account for the apparent excess. These heats will be discussed below. Worthy of mention is also the possibility of corrections whose omission leads to an underestimate of the excess heat. Ohmic heat is generated in the wires and connections inside the cell, but above the electrolyte. This heat is included in  $P_c$ , even though it is not wholly delivered to the electrolyte. Thus in the cell tested, some warming of the external anode terminal was evident for  $I_c > 20$  A, while the external cathode terminal remained at about the cell top temperature. Finally, any unrecognized mechanism of electrochemical energy storage is an error on the conservative side.

The stirring power  $P_{st}$  is not a contender in accounting for the observed apparent excess heat, because stirring induced  $\Delta T$  was at the resolution limit,  $0.1^\circ\text{C}$ , of the recorder. This implies a  $P_{st}$  below a watt on the blank cell calibration slope  $\kappa=5.85$  W/ $^\circ\text{C}$ .

Handel<sup>(22)</sup> has recently proposed that the thermoelectric power  $P_{te}$  into an electrolytic cell may in some cases account for the claimed excess, mainly citing experiments exhibiting a proportionality between steady  $I_c$  and excess power. He justifies the required very high (for pure metals) effective differential Peltier coefficient  $\Delta\Pi=400$  mV by pointing to cases where the excess heat was observed for only a small fraction of the time. We contend, however, that several circumstances greatly reduce the likelihood of, if not entirely eliminating heat pumping as the cause in our case. First, the apparent excess power at  $I_c=5$  A was a steady 1.44 W/A at essentially room temperature, requiring an effective differential Seebeck coefficient  $\Delta S=5.27 \times 10^{-3}$  V/ $^\circ\text{K}$ ; recall that  $\Pi=ST$  and  $P_{te}=I_c \Delta\Pi=I_c T_e \Delta S$ . This value of  $\Delta S$  is several times that observed even in semiconductors.

Second, a proportionality of excess power to  $I_c$  is not supported by the data plot in Figure 7. In contrast, this plot suggests onset of saturation or even interference with rising  $I_c$ . Our last, weaker comment is that in our case the  $P_{te}$  into the cell vessel is theoretically zero because the electrolysis electrodes exiting the lid are identical. This reduces the problem to one of heat pumping between the junctions in the air space below the lid and the effectively Pt-Ni junction in the electrolyte below. However, no cooling of the exiting electrodes was ever discerned.

To account for all of the observed excess heat by hydrogen-oxygen recombination within the cell requires a recombination efficiency of quite a high magnitude in our case. This efficiency,

$$\eta_{rec} = -\dot{P}_{o|\Delta T=0} / (1.48I_c) \quad (13)$$

follows from Eq. 7 by setting  $P_{exc}$  to zero and neglecting  $P_{te}$  and  $P_{st}$ . The plot of this  $\eta_{rec}$  in Figure 9, based on the previously derived values of  $-\dot{P}_{o|\Delta T=0}$  at the selected  $I_c$ , shows that in the dc case for  $I_c$  below 10 A the  $\eta_{rec}$  exceeds 0.55 and grows rapidly to unity at  $I_c$  near 5 A. However, our data does marginally admit such an explanation. First, any recombination heat released in the air space between the electrolyte and the leaky lid, where the most active exposed catalyst was nickel wire connecting to the cathode, could hardly be well transmitted to the liquid below. Hence the recombination would have to be within the electrolyte. Using  $\mathcal{Q}_{ev}(I_c) \approx \mathcal{Q}_{ev}(0)$ , as argued below Eq. 12 for low  $I_c$ , and the experimental data in Figure 8, the loose upper bound on  $\eta_{rec}$  imposed by Eq. 9 falls somewhat below the plot of Eq. 13 in Figure 9. Presumably Eq. 12 restricts  $\eta_{rec}$  even more. Our sparse water addition data thus seems not to entirely favor the recombination explanation, but fails to be decisive either way for lack of accurate  $\mathcal{Q}_{ev}$  data.

In a recent paper<sup>(23)</sup> applicable to water-based electrolytic cells, J.E. Jones et al. point out the well known<sup>(24)</sup> effectiveness of Ni, Pt and Pd to catalyze the recombination of oxygen and hydrogen. They present electrolytic cell experiments demonstrating the quenching of apparent excess heat in configurations that inhibit the transport of dissolved oxygen to the cathode and hydrogen to the anode. And they further demonstrate the achievement of  $\eta_{rec} > 0.95$  when  $\text{O}_2$  was bubbled through an operating cell. Accepting these results, our data are then generally consistent with the recombination explanation and the behavior of the apparent excess heat with cell current that it predicts.

The fact that the initial slope of the apparent excess heat versus  $I_c$  data shown in Figure 7 is comparable to the slope (1.48 W/A) of the maximum gas power line is as predicted at low current density by the recombination hypothesis; the solution of gas becomes more effective at low evolution rates. Related to this, the few reported dc tests of cells nearly identical to the present one, except for the nickel, also suggest a similar slope, even though they



produced up to 50 W excess<sup>(15)</sup>. Only the pulse mode, not systematically explored here, seems to have produced significantly more energy per coulomb throughput. Therefore, given an equal area of nickel wire, the indications now are that the metallurgy of the nickel greatly affects the saturation  $P_{exc}$ , but probably less so the initial  $P_{exc}/I_c$  slope. In fact, subsequent testing of the present cell by the Hydrocatalysis Power Corp. has verified our observations of its low saturation  $P_{exc}$  under the same conditions that produced 50 W excess from cells differing only in the source of the nickel wire. For the cell we examined, evidently there was an unfortunate choice of nickel wire from an untested source.

No conclusions can be drawn here regarding exothermal chemical reactions involving the electrodes, because the run times at each cell current and heater power were usually restricted to the minimum needed to establish thermal equilibrium - about 24 hours. However, no ordinary chemical reactions involving nickel are known that could account for the total excess energy from similar Mills type cells claimed to produce 50 W excess for months. And no report of a chemical or metallurgical change of the nickel cathode is known to us.

## SUMMARY AND CONCLUSIONS

The light water-Ni-K<sub>2</sub>CO<sub>3</sub> electrolytic cell on loan from the Hydrocatalysis Power Corporation clearly exhibited the phenomenon of apparent excess heat when tested at 4 selected dc currents and one pulse mode current. Data was collected using simple 'on-the-fly' calorimetric calibration in the thermal steady state and was reduced to give the apparent excess heat by extrapolation methods that are accepted practice in the field of anomalous heat cell ('cold fusion') research.

Our main findings regarding cell voltages, currents and powers are summarized in Table I. The apparent power gains ranged from 1.06 to 1.68. The apparent excess power of this particular cell saturated at a rather low 11.4 W, at an electrical input power of 59.6 W, using a cell current of 20 A dc, as compared to about 50 W apparent excess reported by other workers for essentially the same cell. We attribute this shortfall to an unfortunate choice of untested nickel from an alternate source.

The power gain given in Table I and plotted in Figure 10 is based on the apparent excess power ( $\bar{P}_o|_{\Delta T=0}$ ) and is the most optimistic possible, since gas recombination, stirring power and any thermoelectric heat injection are then neglected (see Eq. 7). The maximum possible gas power (1.48I<sub>c</sub>) is included as part of the output power. The plot of the gain ( $(P_{exc,apparent} + P_o)/P_c$ ) in Figure 10 appears of a form decreasing asymptotically to unity for large I<sub>c</sub>, as expected for a saturating  $P_{exc,apparent}$ .

Although our data admits the existence of an unusual source of heat within the cell, it falls far short of being

compelling. To delimit the alternatives, we have examined the following factors considered in the literature as potential ordinary causes of multiwatt level, steady state, apparent excess heat in the present type of cell:

1. Unrecognized nonlinearity in the cell thermal conductivity ( $\kappa$ ) at low temperature differential ( $\Delta T$ ), leading to erroneous extrapolation for the excess heat.
2. Injection of heat into the cell by thermoelectric pumping (Seebeck effect).
3. Exothermic chemical reactions involving the nickel cathode.
4. Heat from hydrogen-oxygen recombination within the cell.

And we have come to terms with these possibilities as follows:

1. Nonlinearity in  $\kappa$  is contraindicated by the good alignment of our high power thermal calibration points with a straight line through the origin and also by the linear alignment of our 'on-the-fly' calibration points reaching down below 10 W. This linearity was achieved by forced convective cooling.
2. For thermoelectric heat pumping to account for the apparent excess heat would require differential Seebeck coefficients several times those of even semiconductors. Also, such heat pumping through the lid of our cell is zero in first order because the two exiting electrodes were identical.
3. The apparent energy evolved in the present experiments was inadequate to eliminate chemical reactions - runs too short for the power observed. However, this possibility has been examined and rejected by other workers operating very similar cells at 50 W apparent excess heat for months.
4. Our inadequate water accounting data are at least marginally consistent with the recombination explanation of the source of the apparent excess heat, even though this requires recombination efficiencies exceeding 0.55 at 10 A and rising to near unity at 5 A of cell current (Figure 9). Nevertheless, J.E. Jones et al.<sup>(23)</sup> have claimed to show that light water cells with nickel and platinum electrodes can indeed achieve such high recombination of dissolved oxygen and hydrogen. Also, our Figure 7 suggests this possibility.

Following the principle of simplest explanation that fits the data on hand, recombination becomes the explanation of choice. But even perfect recombination can not account for all of the apparent excess heat in those Mills cells usually operated in a pulsed current mode and reported to produce a thermal output solidly exceeding the VI power

input to the cell. These cases at least leave the door open to more interesting possibilities. Considering the potential value of a new energy source, it seems worth while to restudy the Mills type cell in configurations allowing an accurate account for recombination and water loss.

Insufficient resources prevented us from proceeding with a more careful study of the excess heat effect in cell types adapted to bear on specific questions. For example, certain gaseous loading types, such as the D<sub>2</sub>-Pd transient pressurizing experiment<sup>(25)</sup> at NASA or the H<sub>2</sub>-Ni heating experiment<sup>(26)</sup> at the University of Siena, could avoid the complications of electrolytic cells, while exploring possibilities of high temperature operation and radiation emission<sup>(27)</sup>.

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Table I. Operating characteristics of Hydrocatalysis Power Corporation's demonstration light water-Ni-K<sub>2</sub>CO<sub>3</sub> electrolytic cell.

I <sub>c</sub> (A)	V <sub>c</sub> (V)	Duty Cycle (%)	V <sub>c</sub> I <sub>c</sub> (W)	P <sub>exc, apparent</sub> (W)	Apparent Power Gain <sup>(3)</sup>
5.0	2.12	100	10.6	7.20	1.68
10.0	2.55	100	25.5	8.57	1.34
20.0	2.98	100	59.5	11.4	1.19
40.0	3.36	100	134.5	8.41	1.06
31.0 <sup>(1)</sup>	1.72 <sup>(2)</sup>	10	8.58 <sup>(2)</sup>	2.66	1.31

(1) Peak current of rectangular pulse

(2) Time average

(3) Apparent Power Gain  $\equiv (P_{exc, apparent} + V_c I_c) / (V_c I_c)$

Note: usual test duration was about 24 hours at each fixed I<sub>c</sub> and heater power

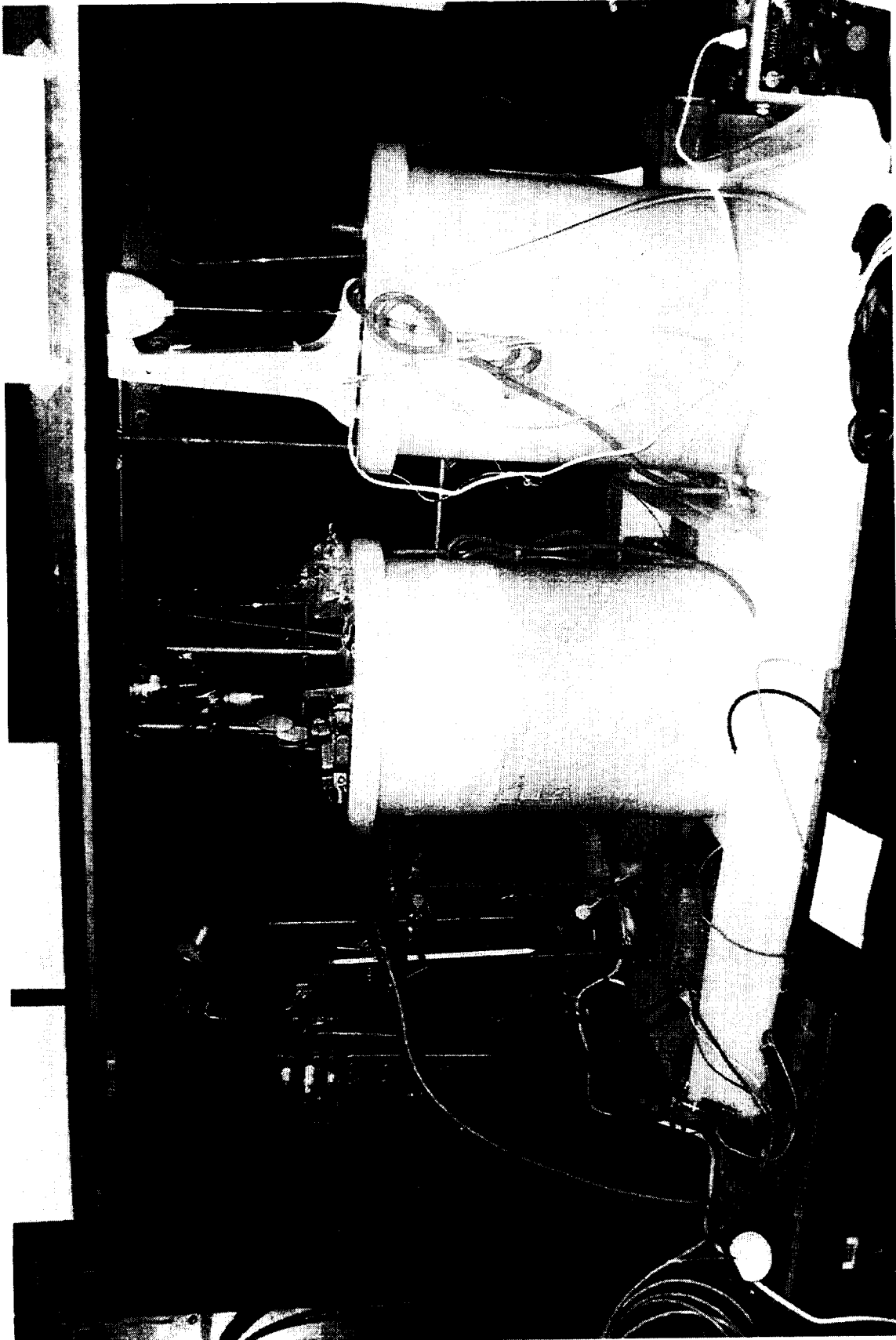


Figure 1. Active (left) and reference cells on the floor of a hood. During operation, the lower sash of the draw window was kept at a height even with the tops of the cells, giving a window airflow of 0.7 m/s.

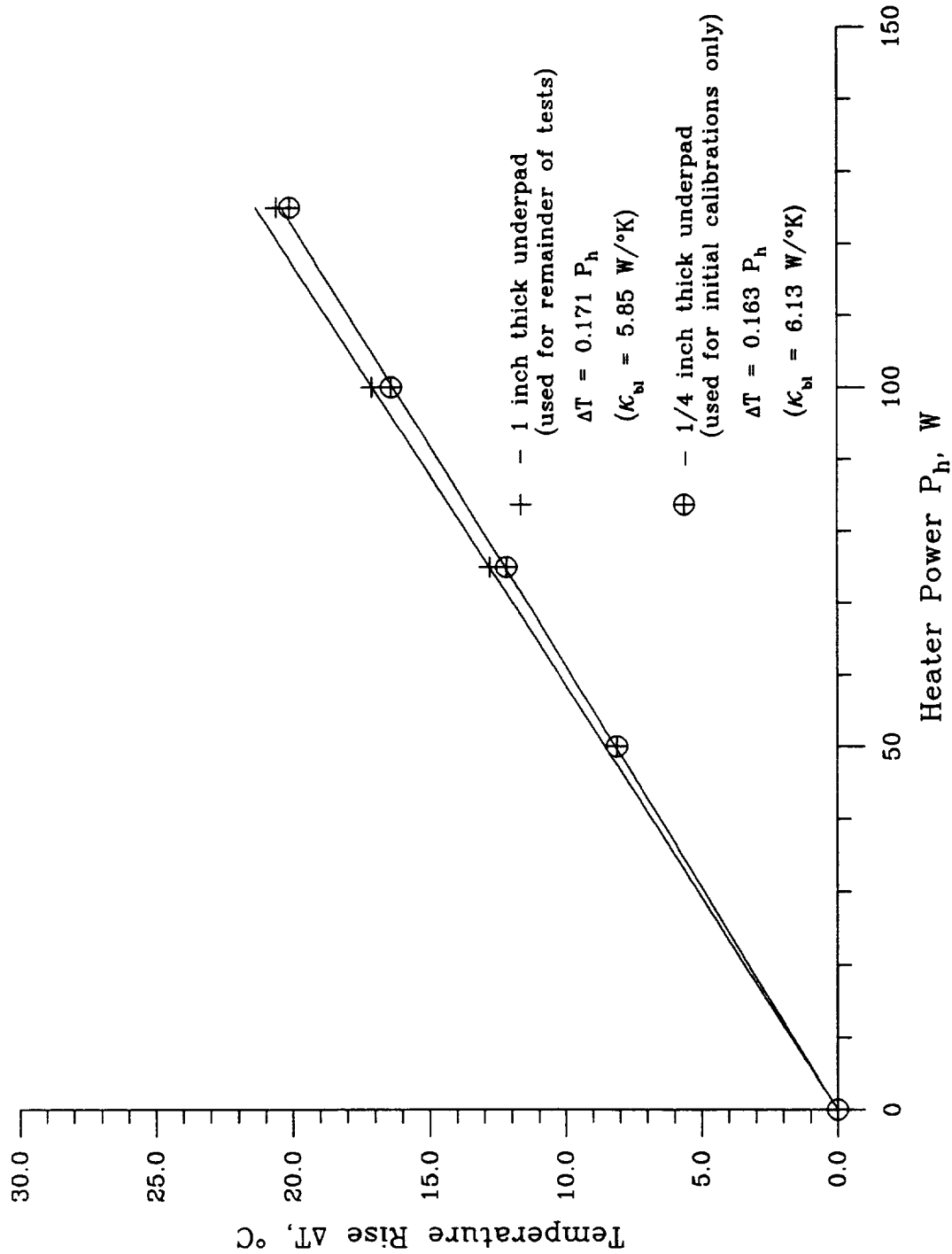


Figure 2. Temperature rise above ambient air ( $\sim 23^\circ\text{C}$ ) of the blank cell at selected heater powers. Fits are least squares straight lines through the origin, ignoring the 125 W points, which appeared to be drooping due to the increased water vaporization at their temperature ( $\sim 43^\circ\text{C}$ ).

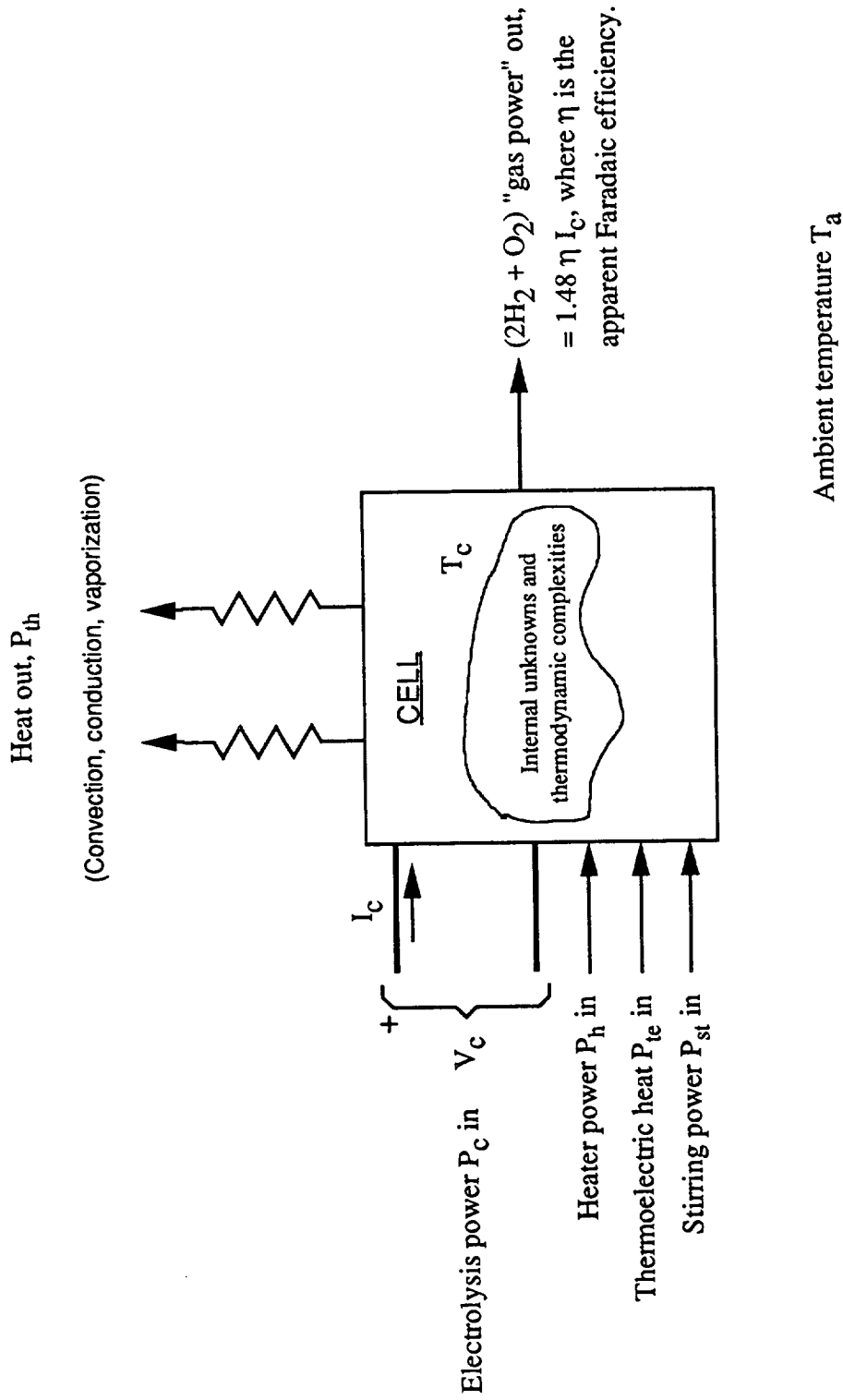


Figure 3. Identification of the cell input and output powers considered in the analysis of excess heat.

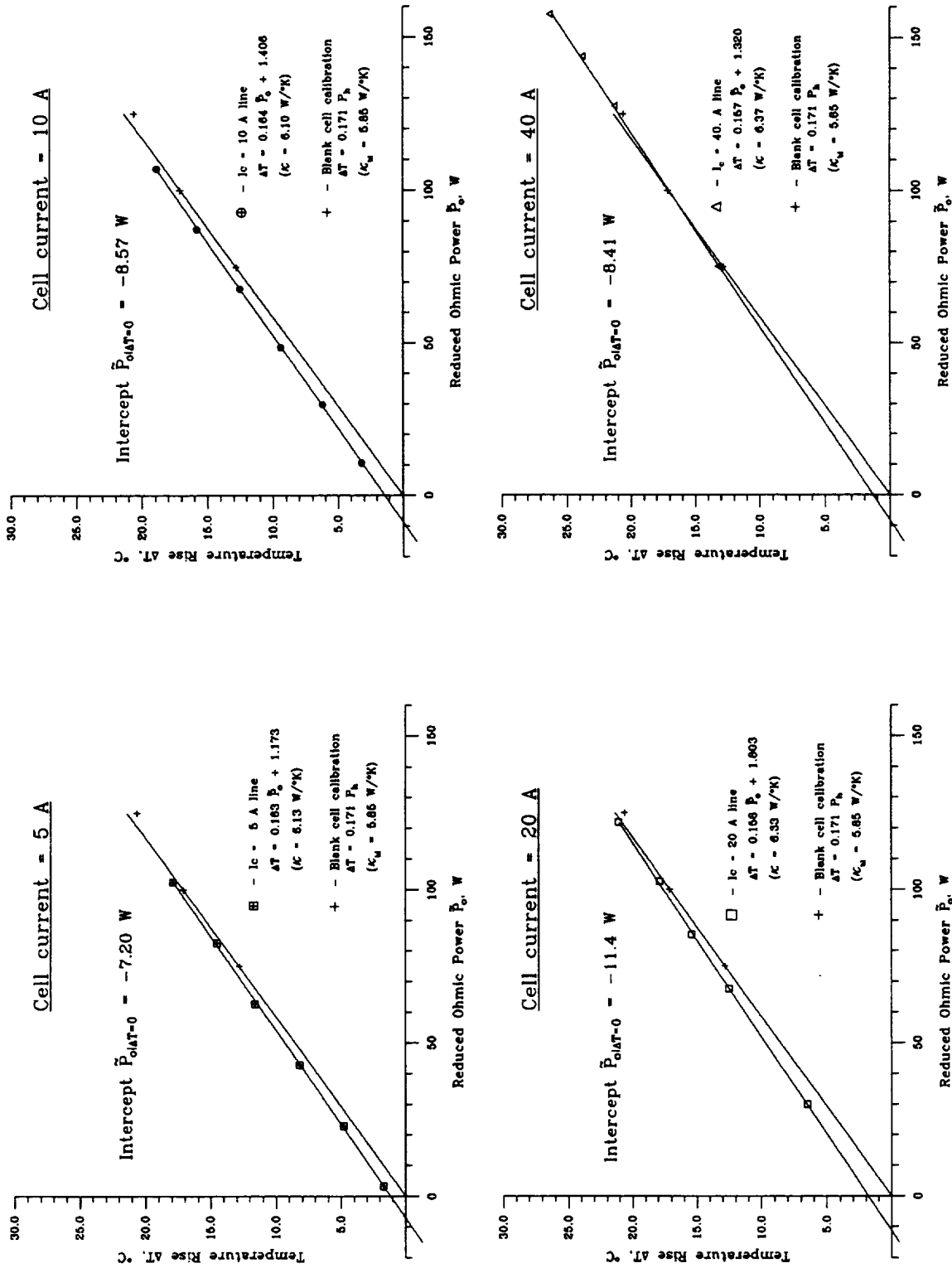


Figure 4. On-the-fly thermal calibration of the active cell for 4 selected dc electrolysis currents. The apparent excess heat is the negative intercept  $-\tilde{P}_{o\Delta T=0}$  of the fitted line with the  $\tilde{P}_o$ -axis. The blank cell calibration line is repeated in each plot for comparison of thermal conduction.



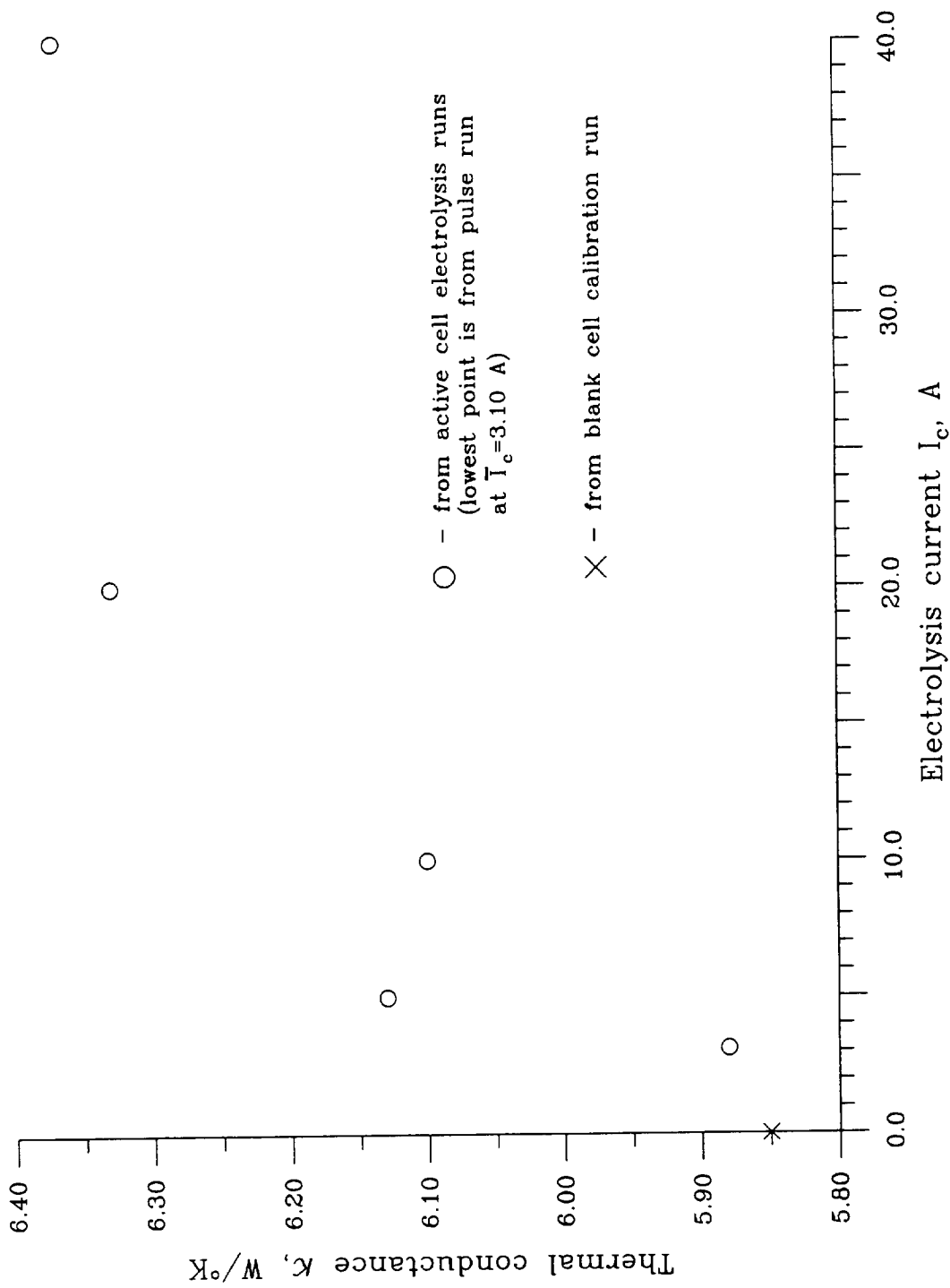


Figure 5. Scatter plot of cell thermal conductance  $K$  versus electrolysis current  $I_c$ , showing  $K$  for the blank cell and all active cell runs.

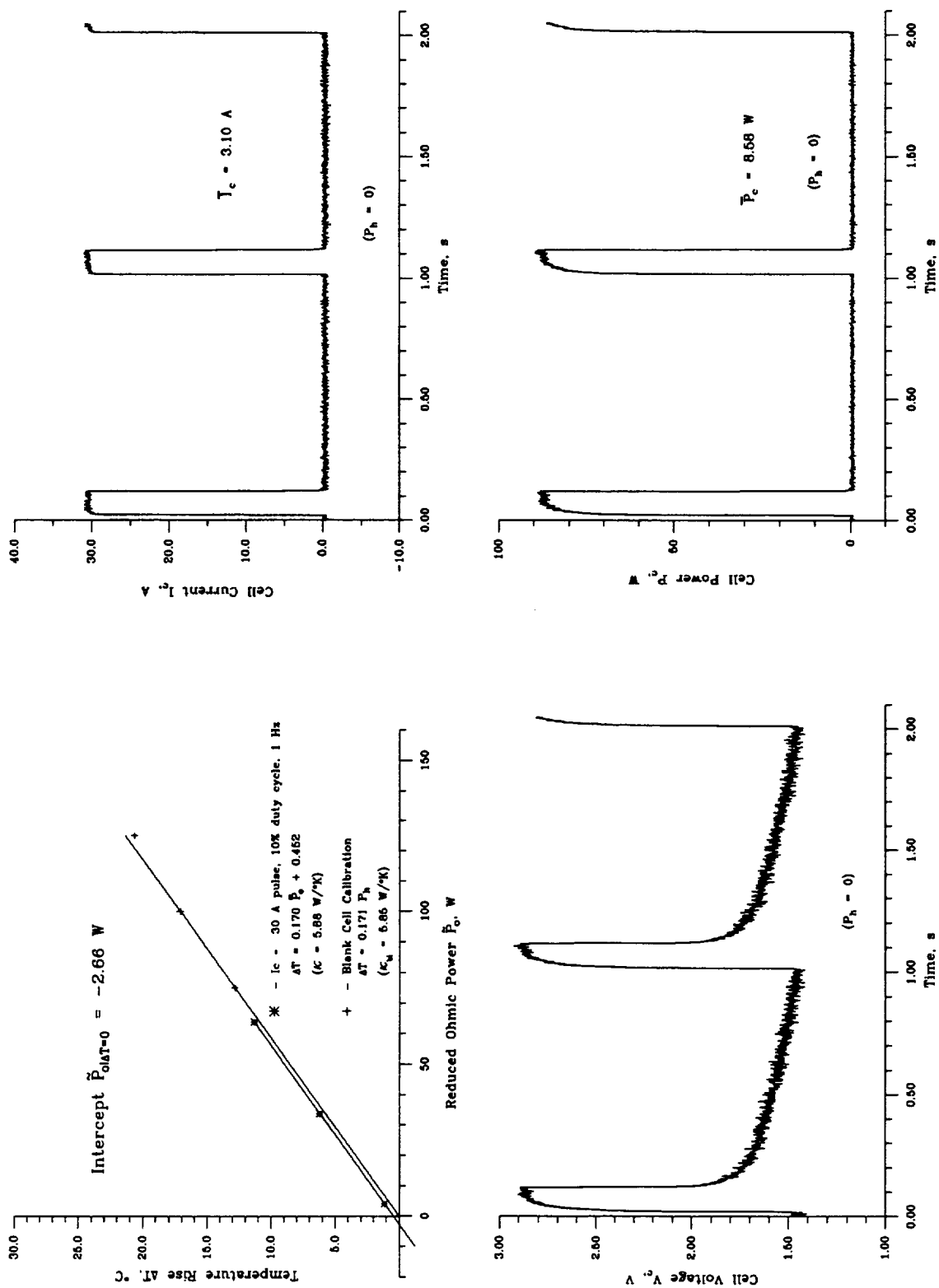


Figure 6. On-the-fly thermal calibration of the active cell for a pulsed electrolysis current. Waveforms of cell current, terminal voltage and power are shown at thermal equilibrium for zero heater power. Note near equality of active and blank cell thermal conductances.

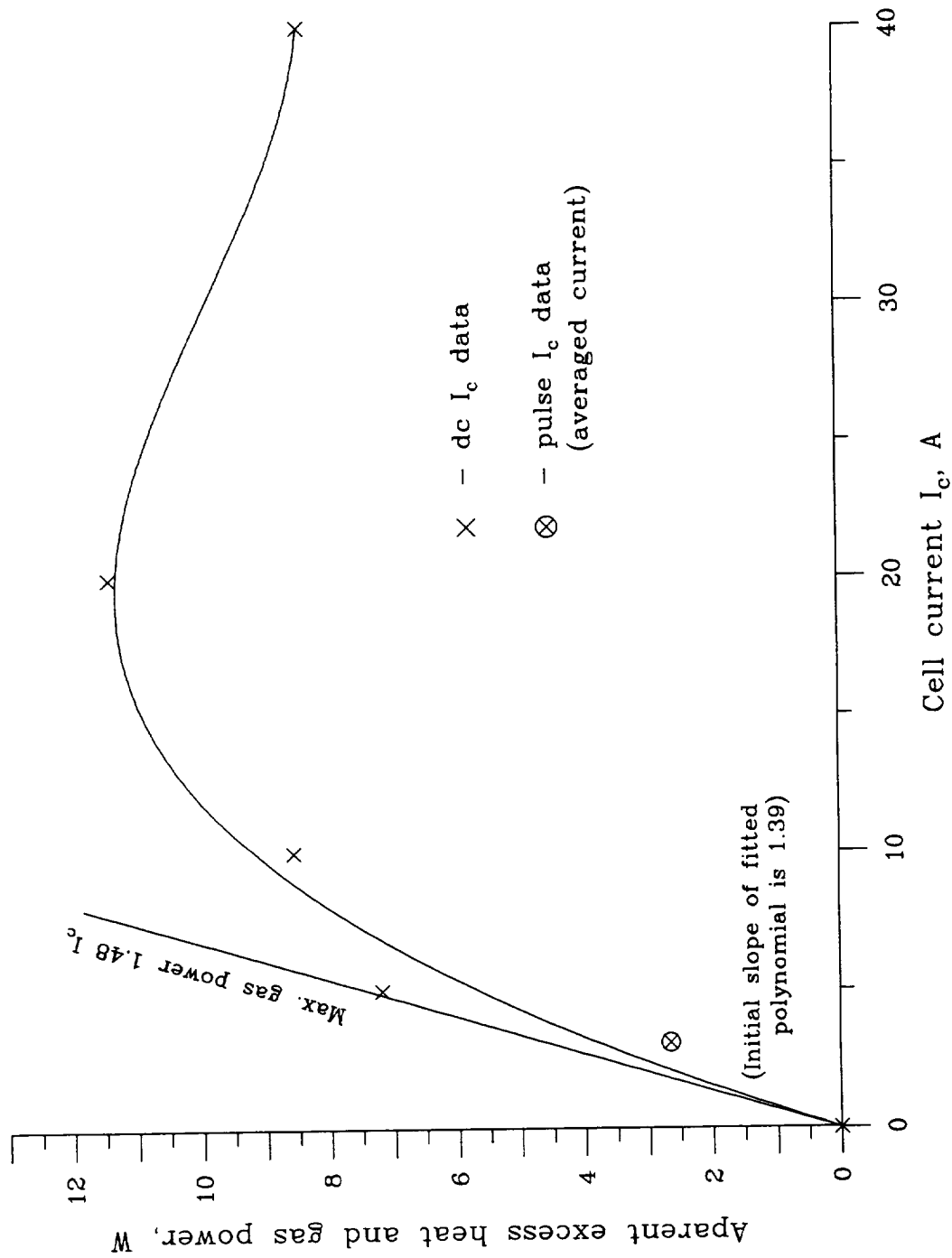


Figure 7. Plot of apparent excess power ( $-\dot{P}_{0,AT=0}$ ) versus cell current  $I_c$  for all electrolysis runs. The fitted curve is a cubic polynomial through the origin, but has no special significance. The maximum gas power line is shown for comparison.

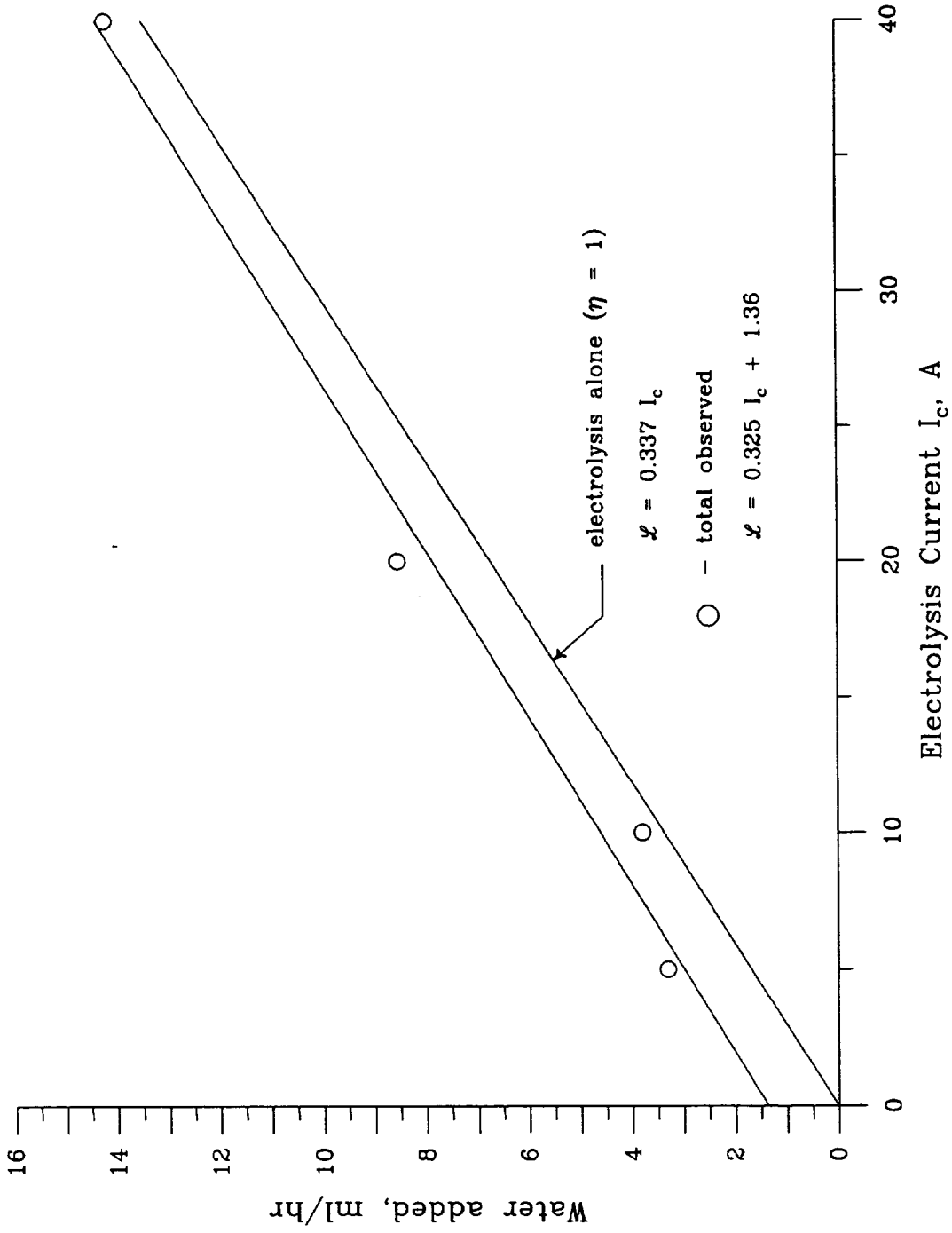


Figure 8. Comparison of the total observed and calculated electrolysis ( $\eta=1$ ) alone water losses from the active cell at the four selected cell currents  $I_c$ . At each  $I_c$ , the losses have been averaged over the selected heater powers.

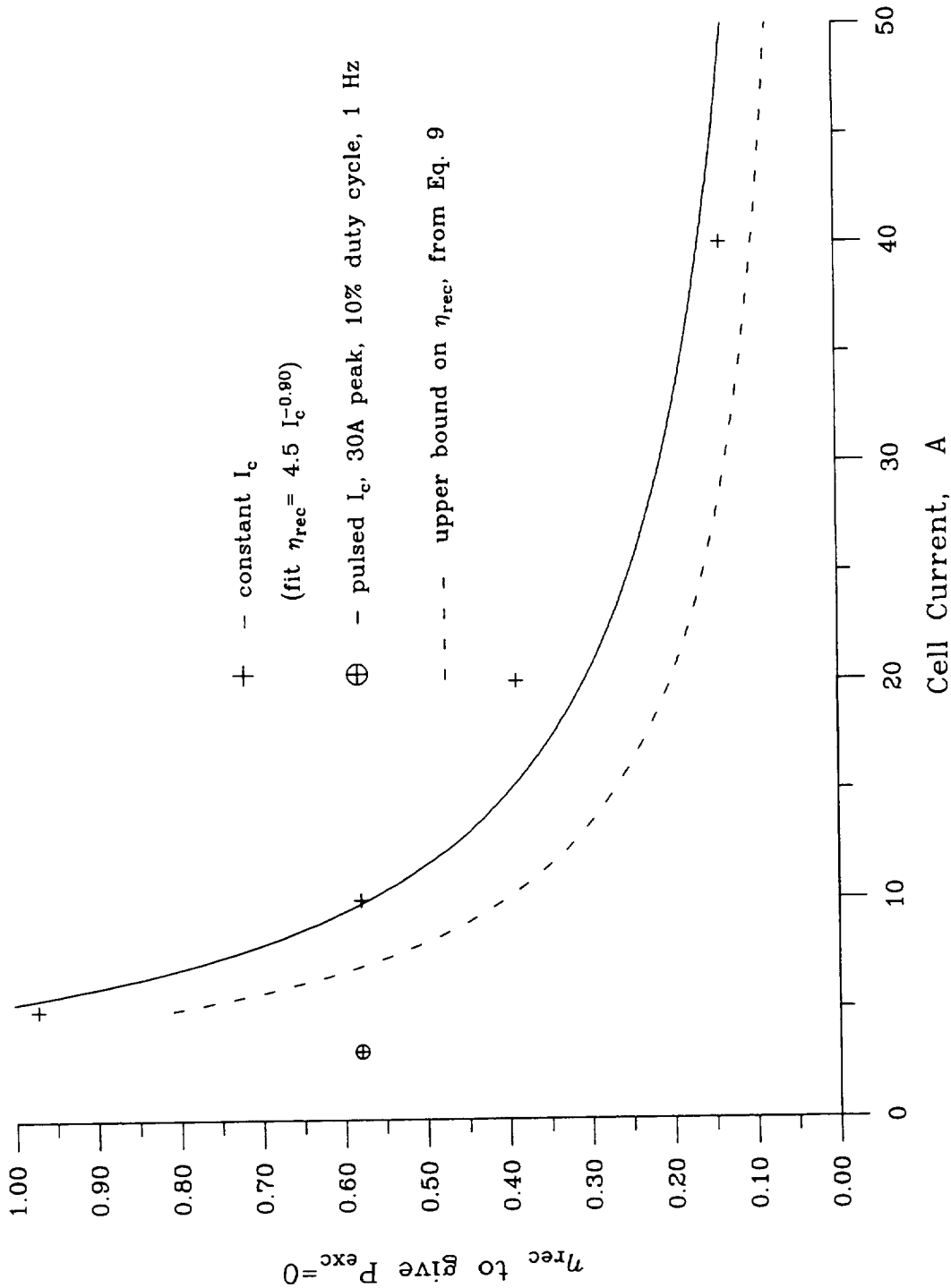


Figure 9. Oxygen-hydrogen recombination efficiency needed to account for all of the apparent excess heat at each of the experimental runs. Dashed curve shows the upper bound on  $\eta_{rec}$  imposed by Eq. 9 and experimental data of Fig. 8.

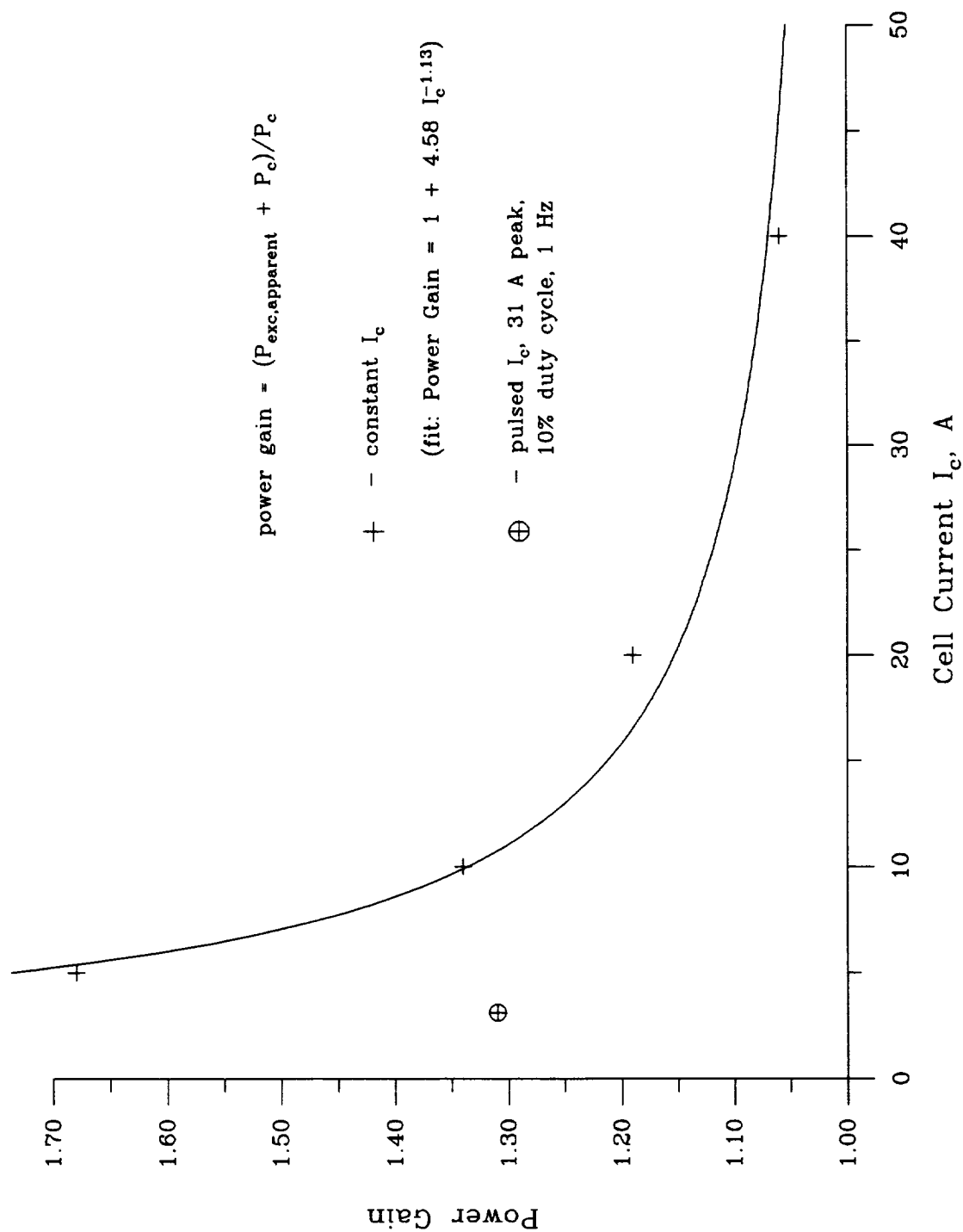


Figure 10. Power gain based on the apparent excess power observed at the selected cell currents  $I_c$ . All chemically stored energy is considered as part of the output.



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